

## Sheet 3

Please submit your individual solutions using the boxes in front of IZ338 ☞ , before the exercise timeslot on the due date above. Your homework submission may be handwritten using proper ink (no pencil, no red ink) or printed.

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**Exercise 1 (ONLINE BIN PACKING: NEXT FIT):****(15 points)**

Recall that the asymptotic competitive ratio of an online algorithm  $A$  over input sequences  $\sigma$  is

$$\limsup_{n \rightarrow \infty} \left( \sup \left\{ \frac{A(\sigma)}{n} \mid \text{OPT}(\sigma) = n \right\} \right).$$

Furthermore, recall that the NEXT FIT bin packing algorithm works as follows. At any time, there is exactly one *open* bin. When the next item arrives and fits into that bin, it is placed there. Otherwise, the open bin is closed and never considered again. A new bin is opened and the item is packed into it. In this exercise, we consider the case where we are given a bound  $\alpha \in (0, 1]$  on the size of the items, i.e., all items  $a_i$  satisfy  $a_i \leq \alpha$ . For every  $\alpha \in (0, 1]$ , determine (with proof) the asymptotic competitive ratio  $c_{NF}^\infty(\alpha)$  of NEXT FIT depending on  $\alpha$ .

**Exercise 2 (ONLINE BIN COVERING):****(15+15 points)**

In this exercise, we consider the problem of BIN COVERING in an online scenario. Analogous to the situation for online bin packing, we are given a sequence of items of unknown weights  $a_1, \dots, a_n \in [0, 1]$  and want to assign these items to bins in an online fashion; however, the bins do not have limited capacity. In the BIN COVERING problem, we want to *maximize* the number of *covered* bins, i.e., the number of bins that receive items of total weight at least 1.

- a) Find an online algorithm for BIN COVERING with an absolute competitive ratio of 2 and prove its competitive ratio. Prove that no deterministic online algorithm can have an absolute competitive ratio  $c < 2$ .
- b) Prove that no deterministic online algorithm for BIN COVERING can have an asymptotic competitive ratio  $c < 3/2$ .

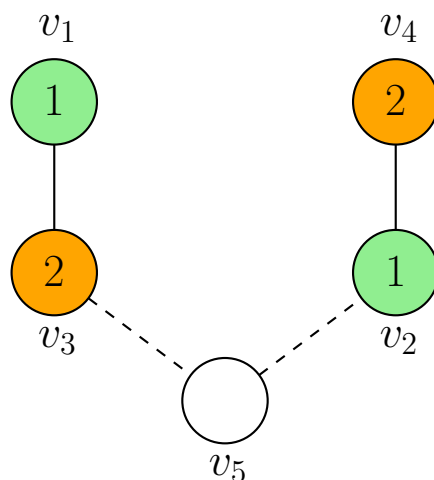
**Exercise 3 (ONLINE GRAPH COLORING):****(20 points)**

In this exercise, we consider the problem of GRAPH COLORING in an online scenario. Our input sequence consists of the vertices  $v_1, \dots, v_n$  of an undirected graph

$$G = (\{v_1, \dots, v_n\}, E).$$

Together with each vertex  $v_i$ , we are given the list  $E_i$  of all edges connecting  $v_i$  to previously given vertices  $v_1, \dots, v_{i-1}$ . Edges from  $v_i$  to vertices  $v_j$  with  $j > i$  are only revealed once vertex  $v_j$  is given. The number of edges and vertices in the graph is not known in advance.

When we are given  $v_i$ , we have to choose a color  $c(v_i) \in \mathbb{N}$  for  $v_i$  in such a way that no vertex adjacent to  $v_i$  has color  $c(v_i)$ . As usual, this choice is final; we cannot change the color later on. We want to minimize the number of colors used. For an example, see Figure 1.



**Figure 1:** A snapshot from the execution of an online graph coloring algorithm. The algorithm has colored the vertices  $v_1, \dots, v_4$  using colors 1 and 2, and is now given a new vertex  $v_5$  connected to  $v_2$  and  $v_3$ . It cannot use color 1 or 2 for  $v_5$  and therefore has to introduce a new color for  $v_5$ .

We want to show that there is no deterministic  $c$ -competitive algorithm for this problem for any constant  $c$ . For any constant number  $2 \leq k \in \mathbb{N}$  of colors and any deterministic online algorithm  $A$ , devise a strategy for an adversary that satisfies the following requirements.

- The strategy always produces a forest  $T_{A,k}$ .
- The online algorithm  $A$  uses at least  $k$  colors on  $T_{A,k}$ .

Offline, every forest can be colored with 2 colors; therefore, this implies that  $A$ 's competitive ratio is at least  $k/2$ .