

# A simple and fast incremental randomized algorithm for computing trapezoidal decompositions and for triangulating polygons

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## *Abstract*

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This paper presents a very simple incremental randomized algorithm for computing the trapezoidal decomposition induced by a set  $S$  of  $n$  line segments in the plane. If  $S$  is given as a simple polygonal chain the expected running time of the algorithm is  $O(n \log^* n)$ . This leads to a simple algorithm of the same complexity for triangulating polygons. More generally, if  $S$  is presented as a plane graph with  $k$  connected components, then the expected running time of the algorithm is  $O(n \log^* n + k \log n)$ . As a by-product our algorithm creates a search structure of expected linear size that allows point location queries in the resulting trapezoidation in logarithmic expected time. The analysis of the expected performance is elementary and straightforward. All expectations are with respect to ‘coinflips’ generated by the algorithm and are not based on assumptions about the geometric distribution of the input.

## **1. Introduction**

Polygon triangulation has been a problem of great appeal to computational geometers: It can be easily stated as “given the coordinates of the  $n$  vertices of a simple polygon  $P$  in order around  $P$ , find  $n - 3$  diagonals that partition  $P$  into

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$n - 2$  triangles;” it is of importance in various application areas; and finally, the actual computational complexity of the problem remained unresolved for more than a decade until very recently.

A brief history: Garey et al. [7] were the first to publish an  $O(n \log n)$  algorithm based on sweeping in 1978. Four years later another algorithm with the same complexity was published by Chazelle [1]. The  $O(n \log n)$  bound was then improved to bounds of the form  $O(n \log C_P)$ , where  $C_P$  is a ‘shape’ parameter no bigger than  $n$  that depends on the polygon  $P$  to be triangulated (for instance the number of reflex vertices [6, 8], or the ‘sinuosity’ of  $P$  [3]). On a different front an ever-increasing class of polygons were shown to be triangulatable in linear time [12–13]. After a false start, Tarjan and Van Wyk [11] made a major breakthrough with an  $O(n \log \log n)$  algorithm in 1986. This time bound was matched by a different but simpler algorithm by Kirkpatrick et al. [10] three years later. In the mean time Clarkson et al. had published a randomized algorithm with  $O(n \log^* n)$  expected running time [5]. Finally in 1990 Chazelle discovered a linear time deterministic algorithm [2], which settles the question about the intrinsic computational complexity of triangulating once and for all.

This paper presents another randomized algorithm with  $O(n \log^* n)$  expected running time. Its virtues lie in its simplicity. It uses no divide-and-conquer or recursion, and no ‘Jordan-sorting’ [5, 9]. Its expected performance admits a very straightforward and self-contained analysis. Finally, it is practical and relatively simple to implement, a property that very few, if any, of the algorithms mentioned can claim.

Like most of its predecessors our algorithm does not triangulate a polygon  $P$  directly, but rather it computes what is known as the ‘horizontal visibility map’ or ‘trapezoidal decomposition’ of  $P$ . By an observation of Fournier and Montuno [6] it is easy to derive from such a decomposition of  $P$  in linear time a triangulation of  $P$ .

Section 2 of this paper gives some basic definitions and facts about trapezoidal decompositions and how they relate to triangulations. Section 3 describes the new algorithm.

## 2. Trapezoidations

Our setting is the Euclidean plane with the usual Cartesian  $x$ - $y$  coordinate system. We will feel free to use intuitive notions such as ‘vertical’ (meaning parallel to the  $y$ -axis), ‘horizontal,’ ‘left of,’ etc.

We call two straight line segments in the plane *noncrossing* iff their intersection is either empty or a common endpoint. Consider a set  $S$  of  $n$  nonhorizontal, noncrossing closed line segments. Starting at each endpoint of each segment in  $S$  draw two horizontal rays, one towards the left and one towards the right, each extending until it hits a segment of  $S$ . For a segment endpoint  $p$  we call the union

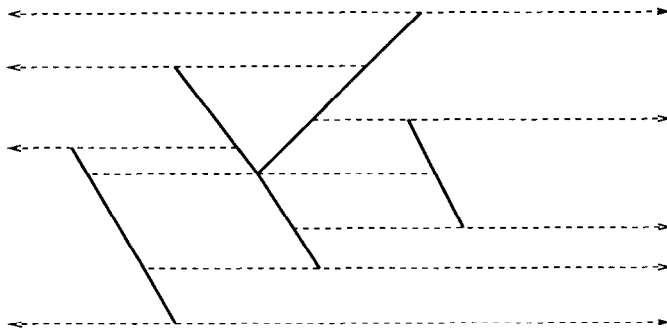


Fig. 1. A trapezoidation of 5 segments.

of these two possibly truncated rays emanating from  $p$  the *horizontal extension* through  $p$ . The segments of  $S$  together with the horizontal extensions through the endpoints form a plane graph, which we call the *trapezoidation* of  $S$ , or  $\mathcal{T}(S)$  for short (see Fig. 1). As each face of  $\mathcal{T}(S)$  has two horizontal sides (one of which might have length 0) we are justified in calling the faces of  $\mathcal{T}(S)$  *trapezoids*.

What is the complexity of  $\mathcal{T}(S)$ ? If  $S$  consists of  $n$  segments, then there are at most  $2n$  segment endpoints. Through each endpoint there is a horizontal extension whose endpoints in turn can contribute at most two vertices to  $\mathcal{T}(S)$ . Thus  $\mathcal{T}(S)$  has at most  $6n$  vertices, and since it is a planar graph it follows immediately that it has  $O(n)$  edges and faces. In particular, the number of faces (trapezoids) is at most  $3n + 1$ , which can be easily established using a sweep argument.

For our purposes it would be advantageous if each trapezoid of  $\mathcal{T}(S)$  had at most two ‘neighboring’ trapezoids above it and at most two neighboring trapezoids below it. By this we mean that if a trapezoid has a nondegenerate upper (lower) side, then this side should have non-empty intersection with the lower (upper) sides of at most two other trapezoids. This condition holds automatically if no two distinct endpoints of segments in  $S$  have the same  $y$ -coordinate. From now on we will assume that  $S$  satisfies this ‘nondegeneracy’ assumption. As noted already in [5], no generality is lost with this assumption, since it can always be achieved by rotating the coordinate system by a sufficiently small amount. Better yet, this perturbative rotation can simply be simulated using a lexicographic technique: if two distinct points have the same  $y$ -coordinate, then the one with smaller  $x$ -coordinate is considered the ‘lower’ one (see Fig. 2).

We end this section by giving the connection between computing trapezoidations and triangulating simple polygons. This connection was originally established in [6] and [3]. We include it for the sake of completeness.

Let  $S = \{s_0, s_1, \dots, s_{n-1}\}$  be a set of  $n$  segments that form a simple and closed polygonal curve; i.e.  $s_i$  and  $s_{i+1}$  share a common endpoint, and  $s_i \cap s_j = \emptyset$  iff  $|i - j| > 1$  (all index arithmetic here is modulo  $n$ ). This polygonal curve bounds a

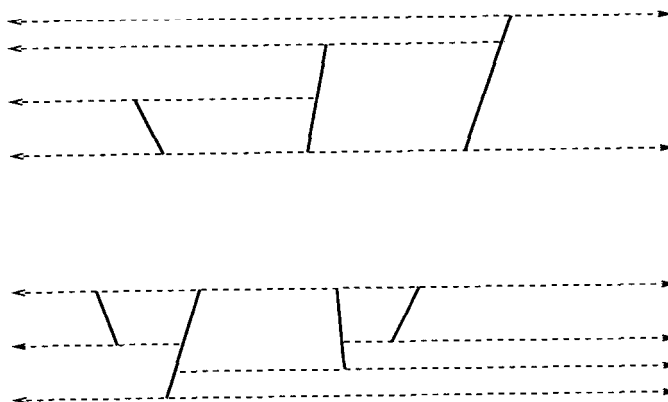


Fig. 2(a). A 'degenerate' trapezoidation.

simple polygon  $P$  with  $n$  vertices. *Triangulating*  $P$  amounts to finding a set of  $n - 3$  noncrossing diagonals of  $P$  that partition  $P$  into  $n - 2$  triangles.

**Lemma 1.** *Let  $S = \{s_0, s_1, \dots, s_{n-1}\}$  be the set of edges around a simple polygon  $P$ . If  $\mathcal{T}(S)$  is available, then a triangulation of  $P$  can be computed in  $O(n)$  time.*

**Proof.** (Sketch) Assume that no two vertices of  $P$  have the same  $y$ -coordinate. As mentioned above, this assumption entails no loss of generality. We compute a triangulation of  $P$  from a trapezoidation  $\mathcal{T}(S)$  in three stages:

First remove from consideration all trapezoids of  $\mathcal{T}(S)$  that do not lie in the interior of  $P$ .

Second, for each of the remaining trapezoids check whether it has two vertices of  $P$  on its boundary that do not lie on the same side. If such a pair of vertices

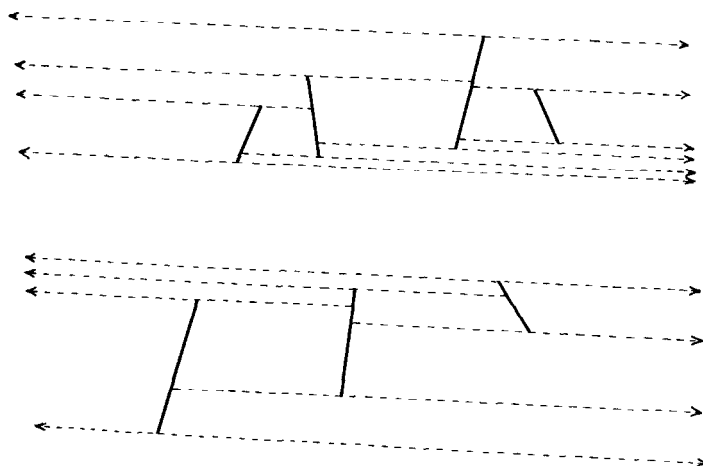


Fig. 2(b). Degeneracies removed by rotation of coordinate system (or lexicographic method).

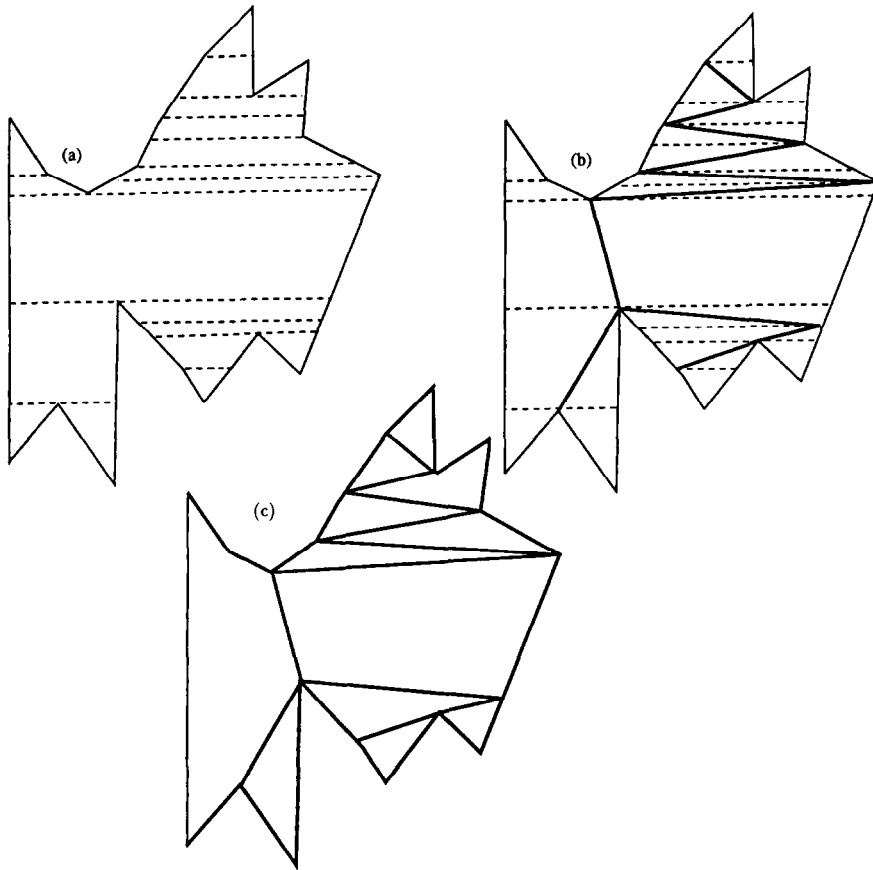


Fig. 3. (a) Trapezoids inside the polygon; (b) Introducing diagonals; (c) Decomposition of polygon into pieces that are easy to triangulate.

exists draw a diagonal between them.

The diagonals introduced in the second phase partition  $P$  into a number of subpolygons, each of which has a very special form: its boundary consists of two  $y$ -monotone chains, one of which is a single edge. A polygon of such a form can easily be triangulated in linear time by repeatedly ‘cutting off’ convex corners of the  $y$ -monotone chain (see Fig. 3). For more details see [6, 3].  $\square$

### 3. The Algorithm

For the purposes of this section we assume a representation of the trapezoidation  $\mathcal{T}(S)$  that allows for each trapezoid  $\tau \in \mathcal{T}(S)$  to determine in constant time the segments of  $S$  that bound  $\tau$  to its left and to its right (if any), the (up to two) adjacent trapezoids of  $\mathcal{T}(S)$  above  $\tau$ , and the (up to two) adjacent trapezoids

below  $\tau$ . Here we assume nondegeneracy in the sense outlined in the previous section, namely that no two non-identical segment endpoints have the same  $y$ -coordinate. Again this condition can easily be simulated using lexicographic techniques. Our representation allows efficient navigation through  $\mathcal{T}(S)$ , i.e. tracing a curve  $C$  through  $\mathcal{T}(S)$  at cost proportional to the complexity of  $C$  plus the number of trapezoid traversals, provided  $C$  crosses no segment of  $S$ . Note that the size of such a representation is linear in the number of segments of  $S$ . Let  $\mathcal{Q}(S)$  be a point location query structure for  $\mathcal{T}(S)$ , i.e.  $\mathcal{Q}(S)$  is a directed acyclic graph with one source and with exactly one sink for each trapezoid of  $\mathcal{T}(S)$ . Each nonsink node has outdegree 2 and is labeled either  $X$ , in which case it has a segment of  $S$  associated with it as a key, or the node is labeled  $Y$ , in which case its associated key is a real number, namely the  $y$ -coordinate of an endpoint of some segment in  $S$  (or in other words, the horizontal extension through the endpoint). A query with query point  $q$  is supposed to proceed as follows: It starts at the source of  $\mathcal{Q}(S)$  and proceeds along a directed path to some sink whose corresponding trapezoid of  $\mathcal{T}(S)$  is to contain  $q$ . At each nonsink node along the way the decision which of the two outedges to follow is dictated by the outcome of the comparison of  $q$  with the key (At an  $X$ -node: Is  $q$  left or right of the key

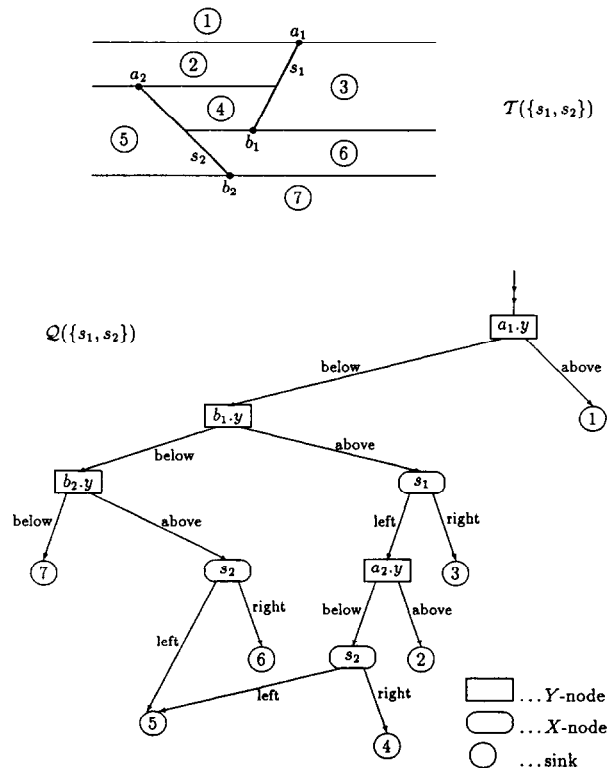


Fig. 4. A trapezoidation and an associated query structure.

segment? At a  $Y$ -node: Is  $q$ 's  $y$ -coordinate less or greater than the key? or: Does  $q$  lie below or above the horizontal extension?). We assume that the trapezoids of  $\mathcal{T}(S)$  and the sinks of  $\mathcal{Q}(S)$  are properly correlated, i.e. for a trapezoid of  $\mathcal{T}(S)$  one can tell in constant time its corresponding sink of  $\mathcal{Q}(S)$ , and vice versa (see Fig. 4).

Let  $s$  be a nonhorizontal segment with upper endpoint  $a$  and lower endpoint  $b$  that crosses no segment of  $S$ , and let  $S'$  be  $S \cup \{s\}$ . We consider the problem of computing  $\mathcal{T}(S')$  and  $\mathcal{Q}(S')$ , given  $\mathcal{T}(S)$  and  $\mathcal{Q}(S)$ .

If the upper endpoint  $a$  is not an endpoint of some segment in  $S$  already, we first use  $\mathcal{Q}(S)$  to locate the trapezoid of  $\tau_a$  of  $\mathcal{T}(S)$  that contains  $a$ . We split  $\tau_a$  with a horizontal line through  $a$  to obtain a new trapezoidal decomposition  $\mathcal{T}'$ . The sink of  $\mathcal{Q}(S)$  that corresponds to  $\tau_a$  becomes a  $Y$ -node whose key is the  $y$ -coordinate of  $a$  and whose two successors are two new nodes, which are sinks and correspond to the two new trapezoids of  $\mathcal{T}'$ . Thus we obtained besides  $\mathcal{T}'$  also a new query structure  $\mathcal{Q}'$ .

If  $a$  was an endpoint of some segment of  $S$  already, then let  $\mathcal{T}'$  be  $\mathcal{T}$  and let  $\mathcal{Q}'$  be  $\mathcal{Q}$ .

Next we proceed likewise with the lower endpoint  $b$  to obtain from  $\mathcal{T}'$  and  $\mathcal{Q}'$  a trapezoidation  $\mathcal{T}''$  and a corresponding query structure  $\mathcal{Q}''$ .

Now we 'thread' segment  $s$  through  $\mathcal{T}''$ , i.e. we determine all trapezoids of  $\mathcal{T}''$  that are intersected by  $s$ , cut them in two, and on each side of  $s$  we merge contiguous sequences of trapezoids that agree in their bounding segments. Thus we have obtained  $\mathcal{T}(S')$ .

We obtain  $\mathcal{Q}(S')$  as follows: For each 'new' trapezoid of  $\mathcal{T}(S')$  along  $s$  we create a new corresponding sink-node. Each sink of  $\mathcal{Q}''$  that corresponds to a trapezoid of  $\mathcal{T}''$  that is cut by  $s$  becomes an  $X$ -node whose key is  $s$  and whose two successors are the appropriate new sinks.

The time necessary to obtain  $\mathcal{T}(S')$  and  $\mathcal{Q}(S')$  from  $\mathcal{T}(S)$  and  $\mathcal{Q}(S)$  consists of the query time for locating the two endpoints of  $s$  and the 'threading time' for  $s$ . Note that the latter is proportional to the number of horizontal lines of  $\mathcal{T}(S)$  that are cut by  $s$ , or equivalently, it is proportional to the number of horizontal lines of  $\mathcal{T}(S')$  that abut upon  $s$ .

What is the total time necessary for constructing  $\mathcal{T}(S)$  and  $\mathcal{Q}(S)$  by successive insertion of the segments of  $S$ , starting with empty structures? Clearly this depends very much on the order of insertion. Note that  $\mathcal{T}(S)$  is independent of this order, but  $\mathcal{Q}(S)$  and the query time it admits very much depend on this order. It is not hard to concoct bad examples: Consider, for instance, a set  $S$  of  $n$  vertical segments that all intersect the  $x$ -axis. If these segments are inserted by increasing  $x$ -coordinate, then the resulting query structure will have very long source-sink paths and locating a query point on the  $x$ -axis to the right of all segments will take  $O(n)$  time.

In the following we argue that if the segments of  $S$  are inserted in *random* order, with each ordering occurring equally likely, then  $\mathcal{Q}(S)$  will behave nicely in

expectation, and the overall expected construction time will be reasonable. For this purpose we will need to show something about the expected point location query time and the expected ‘threading’ time.

**Lemma 2.** *Let  $s_1, \dots, s_n$  be a random ordering of the segments of  $S$ , and let  $S_i = \{s_1, \dots, s_i\}$  for  $0 \leq i \leq n$ . For  $1 < i \leq n$  the expected number of horizontal lines of  $\mathcal{T}(S_{i-1})$  that are intersected by the relative interior of  $s_i$  is at most 4.*

**Proof.** For a segment  $s \in S_i$  let  $\deg(s, \mathcal{T}(S_i))$  denote the number of horizontal extensions of  $\mathcal{T}(S_i)$  that abut upon  $s_i$ , i.e. they end in the relative interior of  $s$ .

As the number of horizontal extensions of  $\mathcal{T}(S_{i-1})$  that are intersected by  $s_i$  is the same as the number of horizontal extensions of  $\mathcal{T}(S_i)$  that abut upon  $s_i$ , we are interested in the expected value  $\text{Exp}(\deg(s_i, \mathcal{T}(S_i)))$ .

As there are at most  $2i$  horizontal extensions in  $\mathcal{T}(S_i)$ , each abutting upon at most two segments, we have  $\sum_{s \in S_i} \deg(s, \mathcal{T}(S_i)) \leq 4i$ . Because of the random ordering,  $s_i$  is any one of the  $i$  segments in  $S_i$  with equal probability. Thus  $\text{Exp}(\deg(s_i, \mathcal{T}(S_i))) \leq 4$ .  $\square$

Let  $H_n = 1 + 1/2 + 1/3 + \dots + 1/n$ , and recall that  $H_n = \Theta(\log n)$ , in particular for  $n > 1$  we have  $\log_e n < H_n < 1 + \log_e n$ .

**Lemma 3.** *Let  $s_1, \dots, s_n$  be a random ordering of the segments of  $S$ , and let  $S_i = \{s_1, \dots, s_i\}$  for  $0 \leq i \leq n$ . For  $1 \leq i \leq n$  let  $\mathcal{T}(S_i)$  and  $\mathcal{Q}(S_i)$  be the trapezoidation and query structure for  $S_i$  obtained from  $\mathcal{T}(S_{i-1})$  and  $\mathcal{Q}(S_{i-1})$  by inserting segment  $s_i$ . If  $q$  is any query point, then, taken over all orderings of  $S$ , the expected number of key comparisons necessary to locate  $q$  in  $\mathcal{T}(S_n)$  using  $\mathcal{Q}(S_n)$  is at most  $5H_n$ , i.e.  $O(\log n)$ .*

**Proof.** Let  $\tau_i$  be the trapezoid in  $\mathcal{T}(S_i)$  that contains  $q$ . Assuming  $\tau_{i-1}$  is known, what is  $E_i$ , the expected number of comparisons necessary to identify  $\tau_i$ ? In other words, assuming we know the trapezoid of  $\mathcal{T}(S_{i-1})$  that contains  $q$ , what is the expected number of comparisons necessary to determine the trapezoid of  $\mathcal{T}(S_i)$  that contains  $q$ ?

Clearly no comparisons are necessary if  $\tau_{i-1}$  and  $\tau_i$  are the same. If they are different, then at least one of the sides of  $\tau_i$  has to be part of the new segments  $s_i$  or part of the horizontal extension through one of the endpoints of  $s_i$ .

If the right side of  $\tau_i$  is part of  $s_i$ , then exactly one comparison is necessary to identify  $\tau_i$ , namely an  $X$ -comparison between  $q$  and  $s_i$ . Because of the random ordering every one of the  $i$  segments of  $S_i$  has the same chance  $1/i$  of being  $s_i$ . Thus the probability that  $s_i$  happens to be the segment bounding  $\tau_i$  to its right is at most  $1/i$  ( $\tau_i$  might be unbounded to the right). Thus the expected number of comparisons between  $q$  and the right side of  $\tau_i$  is at most  $1/i$ . By symmetry the same holds for the left side of  $\tau_i$ .



Similarly, if the upper side of  $\tau_i$  is part of a horizontal extension through an endpoint of  $s_i$ , then one comparison is necessary to identify  $\tau_i$ , namely a  $Y$ -comparison between  $q$  and that extension. Again, because of the random ordering this happens with probability  $1/i$  at most, and thus the expected number of comparisons between  $q$  and the upper side of  $\tau_i$  is at most  $1/i$ . The same holds for the lower side of  $\tau_i$ .

Thus the expected number of comparisons between  $q$  and the sides of  $\tau_i$  is at most  $4/i$ . (Note that here we are exploiting the fact that the expectation of a sum of random variables is simply the sum of the individual expectations, even if the random variables are dependent.) However, Mike Hohmeyer at U.C. Berkeley has pointed out that one additional kind of comparison can occur: Assume the upper side of  $\tau_i$  is part of the horizontal extension of an endpoint of  $s_i$  (which happens with probability at most  $1/i$ ), in particular assume the upper side of  $\tau_i$  is the horizontal extension of the lower endpoint of  $s_i$ . In addition assume that no horizontal extension abuts upon the relative interior of  $s_i$  and that no other segment of  $S_i$  shares an endpoint with  $s_i$ . This means that the segment  $s_i$  is contained in the interior of the trapezoid  $\tau_{i-1}$ . By the way we obtain the query structure  $\mathcal{Q}(S_i)$  from  $\mathcal{Q}(S_{i-1})$  it is clear that in order to locate  $q$  in  $\tau_i$  at first also a comparison between  $q$  and the horizontal extension of the *upper* endpoint of  $s_i$  has to be made. Thus if this particular configuration occurs, one additional comparison has to be performed with probability at most  $1/i$ .

It follows that  $E_i$ , the expected number of comparisons necessary to ascertain that  $q$  lies in  $\tau_i$  knowing that it lies in  $\tau_{i-1}$  is at most  $5/i$ .

To prove the lemma it now suffices to observe that the expected overall query time is clearly  $\sum_{1 \leq i \leq n} E_i$ .  $\square$

Lemmas 2 and 3 together with the preceding discussion immediately imply the following theorem.

**Theorem 1.** *Let  $S$  be a set of  $n$  noncrossing, nonhorizontal line segments in the plane. Let the trapezoidation  $\mathcal{T}(S)$  and the query structure  $\mathcal{Q}(S)$  be built incrementally by inserting the segments of  $S$  in random order.*

- (1) *The expected time necessary to build  $\mathcal{T}(S)$  and  $\mathcal{Q}(S)$  is  $O(n \log n)$ .*
- (2) *The expected size of  $\mathcal{Q}(S)$  is  $O(n)$ .*
- (3) *For any query point  $q$  the expected time for locating  $q$  in  $\mathcal{T}(S)$  via  $\mathcal{Q}(S)$  is  $O(\log n)$ .*

*(All expectations are with respect to the random ordering of  $S$ , where each permutation of  $S$  is assumed to occur equally likely.)*

In the following we show that if  $S$  is the set of segments of a simple polygonal chain, then  $\mathcal{T}(S)$  and  $\mathcal{Q}(S)$  can be built even faster.

The expensive part of inserting a segment seems to be the location queries for its endpoints. How could one do without them? If  $S$  derives from a polygonal

chain  $C$ , then an obvious fix seems to be to insert the segments in order along  $C$ . This way one endpoint of  $s_i$  coincides with an endpoint of the just inserted segment  $s_{i-1}$  whose location is known. The location of the other endpoint could be determined while ‘threading’  $s_i$  through the current trapezoidation. Unfortunately this strategy forgoes the use of randomization, and it is not true any more that the expected cost of ‘threading’  $s_i$  through the current trapezoidation is constant. Indeed it is not hard to concoct examples where this ‘threading’ cost is  $O(i)$  for  $n/2 \leq i \leq n$ , which leads to an  $O(n^2)$  algorithm.

We will pursue the following strategy: We will still insert the segments in random order. But every once in a while we will stop and locate all endpoints (which are vertices of  $C$ ) in the current trapezoidation by tracing out  $C$ . To document the efficiency of this approach we will need two lemmas: one tells how much this intermediate location information helps with the searches later on; the other one tells how expensive it is to trace  $C$  through the current trapezoidation.

**Lemma 4.** *Let  $s_1, \dots, s_n$  be a random ordering of the segments of  $S$ , and let  $S_i = \{s_1, \dots, s_i\}$  for  $0 \leq i \leq n$ . For  $1 \leq i \leq n$  let  $\mathcal{T}(S_i)$  and  $\mathcal{Q}(S_i)$  be the trapezoidation and query structure for  $S_i$  obtained from  $\mathcal{T}(S_{i-1})$  and  $\mathcal{Q}(S_{i-1})$  by inserting segment  $s_i$ . Let  $1 \leq j \leq k \leq n$ . If  $q$  is a query point whose location in  $\mathcal{T}(S_j)$  is known (along with the corresponding sink node of  $\mathcal{Q}(S_j)$ ), then  $q$  can be located via  $\mathcal{Q}(S_k)$  in  $\mathcal{T}(S_k)$  in expected time at most  $5(H_k - H_j)$ , which is  $O(\log(k/j))$ .*

*(The expectation is with respect to all possible orderings of  $S$ .)*

**Proof.** Proceed as in the proof of Lemma 3. However, the expected query time in this case is  $\sum_{j < i \leq k} E_i$ .  $\square$

**Lemma 5.** *Let  $S$  be a set of  $n$  noncrossing, nonhorizontal segments in the plane and let  $R$  be a random subset of  $S$  of size  $r$ . Let  $Z$  be the number of intersections between horizontal trapezoid sides of  $\mathcal{T}(R)$  and segments in  $S \setminus R$ . The expected value of  $Z$  is at most  $4(n - r)$ , where the expectation is over all subsets  $R$  of  $S$  of size  $r$ .*

**Proof.** For  $T \subset S$  and  $s \in T$  let  $\deg(s, \mathcal{T}(T))$  denote the number of horizontal extensions of endpoints of segments in  $T$  that abut upon the relative interior of  $s$ . As every horizontal extension abuts upon at most two segments we have  $\sum_{s \in T} \deg(s, \mathcal{T}(T)) \leq 4|T|$ .

For  $R \subset S$  and  $s \notin R$  observe that the number of horizontal trapezoid sides of  $\mathcal{T}(R)$  that are intersected by  $s$  is exactly  $\deg(s, \mathcal{T}(R \cup \{s\}))$ . We are interested in

$$\frac{1}{\binom{n}{r}} \sum_{\substack{R \subset S \\ |R|=r}} \sum_{s \in S \setminus R} \deg(s, \mathcal{T}(R \cup \{s\})).$$

Expressing this double sum slightly differently, this is the same as

$$\begin{aligned} & \frac{1}{\binom{n}{r}} \sum_{\substack{R' \subset S \\ |R'|=r+1}} \sum_{s \in R'} \deg(s, \mathcal{T}(R')) \\ & \leq \frac{1}{\binom{n}{r}} \sum_{\substack{R' \subset S \\ |R'|=r+1}} 4 |R'| = 4(r+1) \frac{\binom{n}{r+1}}{\binom{n}{r}} = 4(n-r). \quad \square \end{aligned}$$

Before presenting the final algorithm and its analysis a bit of notation: Let  $\log^{(i)} n$  denote the  $i$ th iterated logarithm, i.e.  $\log^{(0)} n = n$  and for  $i > 0$  we have  $\log^{(i)} n = \log(\log^{(i-1)} n)$ . For  $n > 0$  let  $\log^* n$  denote the largest integer  $l$  so that  $\log^{(l)} n \geq 1$ , and for  $n > 0$  and  $0 \leq h \leq \log^* n$  let  $N(h)$  be shorthand for  $\lceil n / \log^{(h)} n \rceil$ .

The input to the algorithm below is a simple polygonal chain  $C$  of  $n$  segments in consecutive order along  $C$ .

- (1) Generate  $s_1, s_2, \dots, s_n$ , a random ordering of the segments of  $C$
- (2) Generate  $\mathcal{T}_1$ , the trapezoidation for the set  $\{s_1\}$  along with the corresponding search structure  $\mathcal{Q}_1$ .
- (3) **For**  $h = 1$  **to**  $\log^* n$  **do**
  - (3.1) **For**  $N(h-1) < i \leq N(h)$  **do**
    - (3.1.1) Obtain trapezoidation  $\mathcal{T}_i$  and search structure  $\mathcal{Q}_i$  from  $\mathcal{T}_{i-1}$  and  $\mathcal{Q}_{i-1}$  by inserting segment  $s_i$ .
    - (3.2) Trace  $C$  through  $\mathcal{T}_{N(h)}$  to determine for each endpoint of all non-inserted segments the containing trapezoid of  $\mathcal{T}_{N(h)}$ .
- (4) **For**  $N(\log^* n) < i \leq n$  **do**
  - (4.1) Obtain trapezoidation  $\mathcal{T}_i$  and search structure  $\mathcal{Q}_i$  from  $\mathcal{T}_{i-1}$  and  $\mathcal{Q}_{i-1}$  by inserting segment  $s_i$ .

What is the expected running time of this algorithm? We assume that Step 1 can be executed in linear time (see Section 4 for a discussion of this assumption). Step 2 takes constant time.

For Step 3 let us consider some  $h$ , with  $1 \leq h \leq \log^* n$ . By Lemma 5 Step 3.2 can be performed on  $O(n)$  expected time. What about Step 3.1? The expected cost of Step 3.1.1, inserting segment  $s_i$ , is the sum of the expected time necessary to locate  $s_i$ 's endpoints in  $\mathcal{T}_{i-1}$  and the expected time for 'threading'  $s_i$  through  $\mathcal{T}_{i-1}$ . By Lemma 2 the latter is constant. Since the location of  $s_i$ 's endpoints in  $\mathcal{T}_{N(h-1)}$  is known already, by Lemma 4 the expected location time is only  $O(\log(i/N(h-1)))$ . Since  $i \leq n$  and  $N(h-1) = \lceil n / \log^{(h-1)} n \rceil$ , this is  $O(\log^{(h)} n)$ . For fixed  $h$  Step 3.1.1 is executed at most  $N(h) = \lceil n / \log^{(h)} n \rceil$  times. Thus for fixed  $h$  the total cost of Step 3 is  $O(n)$ , and hence the entire expected cost of step 3 over all  $h$  is  $O(n \log^* n)$ .

The analysis for step 4 is similar to the one of Step 3.1. Note that  $N(\log^* n) \geq n/e$ , and therefore the expected point location cost is constant. It follows that Step 4 takes  $O(n)$  expected time. Thus we have proved the following theorem.

**Theorem 2.** *Let  $S$  be a set of  $n$  noncrossing segments in the plane that are presented as a simple polygonal chain  $C$ . The above algorithm computes the trapezoidation  $\mathcal{T}(S)$  along with a point location structure  $\mathcal{Q}(S)$  for this trapezoidation in expected time  $O(n \log^* n)$ . The point location structure uses expected  $O(n)$  space and admits an expected  $O(\log n)$  query time.*

This theorem admits easy generalizations. Note that the algorithm exploits only one property of the chain  $C$ , namely its connectedness. If  $S$  was presented as a connected plane graph  $G$  with the order of the incident edges around each vertex given explicitly, then the result would continue to hold. Only the tracing of Step 3.2 of the algorithm would have to be performed in order of some graph traversal of  $G$ . Allowing the graph  $G$  to have several connected components leads to a unification of Theorems 1 and 2.

**Theorem 3.** *Let  $S$  be a set of  $n$  noncrossing segments in the plane that are presented as a plane graph with  $k$  connected components. Let  $\mathcal{T}(S)$  be the trapezoidation of  $S$ .*

*Trapezoidation  $\mathcal{T}(S)$  along with a query structure  $\mathcal{Q}(S)$  can be built in time  $O(n \log^* n + k \log n)$ .*

*The expected size of  $\mathcal{Q}(S)$  is  $O(n)$ .*

*For any query point  $q$  the expected time for locating  $q$  in  $\mathcal{T}(S)$  via  $\mathcal{Q}(S)$  is  $O(\log n)$ .*

**Proof.** Apply the algorithm outlined above, but modify Step 3.2 so as to trace the segments of each connected component of the graph through the current trapezoidation in the order of some graph traversal, say, depth-first-search. In order to start the tracing of one connected component, one of its vertices has to be located in the current trapezoidation. Because of Lemma 3 the cumulative expected cost for this over the entire algorithm is  $O(\log n)$  per component.  $\square$

#### 4. Remarks

An algorithm somewhat similar to the one described in this paper has also been discovered by Clarkson, Cole, and Tarjan [4]. However, their approach is based on divide-and-conquer and the main thrust of their approach is towards a fast parallel trapezoidation algorithm.

Our algorithm can be viewed as a holistic version of the divide-and-conquer algorithm in [4] or its predecessor in [5]. The  $\log^* n$  stops in our segment insertion routine at which the curve is traced through the current trapezoidation correspond to the  $\log^* n$  levels of recursion in the divide-and-conquer algorithms. However, by always dealing with the global trapezoidation our algorithm avoids the myopia brought about by the very high divisiveness of the divide-and-conquer algorithms.

The algorithm presented in this paper seems to require that every one of the  $n!$  permutations of  $n$  segments can be generated with equal likelihood. This might seem unsatisfactory from the theoretical point of view, since this requires  $\Theta(n \log n)$  random bits. As a matter of fact this makes the main result of this paper questionable at best, if a model of computation is used that requires unit cost for single random bits. For our result to be interesting we need to be able to obtain random integers distributed over a polynomially sized range at *unit cost*. However, such a model of computation is not at all unreasonable: From the practical point of view today's pseudo-random number generators do provide such 'random' integers at unit cost. From the theoretical point of view it seems unfair to work with a uniform cost model for the arithmetic but with a bit model for the randomness. Note that if a bit model is assumed throughout, then the number of random bits required is proportional to the input size (measured in bits, of course).

The question of estimating the probability that the running time of our algorithm significantly exceeds its expectation is currently being investigated.

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